

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Patent Application**

**Inventors**      Yuguang Wu

**Case**            113122

**Serial No.**      09/707474

**Group Art Unit**

**Filing Date**    11/07/00

**Batch No.**

**Examiner**      Unassigned

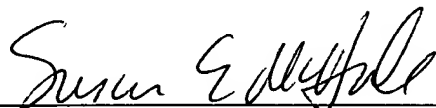
**Title**            A Generic Fault-Tolerant Locking Mechanism for  
Multiprocessor Systems

**ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS**  
**WASHINGTON, D.C. 20231**

SIR:

Enclosed are the formal drawings (5 sheets) for the above-identified application. Please substitute these formal drawings for the informal drawings filed with the application.

Respectfully,  
**Yuguang Wu**

By:   
Susan E. McHale, Attorney  
Reg. No. 35948  
(908) 221-5776

Date: 01-23-01  
AT&T Corp.  
P.O. Box 4110  
Middletown, New Jersey 07748-4110

#2  
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<b>TRANSMITTAL FORM</b> (Do not be used for correspondence after initial filing)	Application Number	09/07474	
	Filing Date	11/07/2000	
	First Named Inventor	Yuguang Wu	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in this Submission	8	Attorney Docket Number	113122

## Enclosures (check all that apply)

- ☒ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Petition for Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☒ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communications to Board of Appeals and Interferences  
☐ Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Return Receipt Postcard  
☐ Additional enclosure(s) (please identify below)

Remarks

## CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

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NAME	Samuel H. Dworetzky				
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CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America	FAX	732-368-6932		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Susan E. McHale	Reg. #	35948
TELEPHONE	908-221-5776		
SIGNATURE	<i>Susan E. McHale</i>	DATE	01/23/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 01/23/2001

Type or Printed Name	Linda Chellew		
Signature	<i>Linda Chellew</i>	Date	01/23/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

**FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

Complete known

Application Number 09/707474  
 Filing Date 11/07/2000  
 First Named Inventor Yuguang Wu  
 Examiner Name  
 Group/Art Unit  
 Attorney Docket No. 113122

**TOTAL AMOUNT OF PAYMENT**

\$0

**METHOD OF PAYMENT (check one)**

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	710	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	
106	320	Design Filing Fee	
108	710	Reissue Filing Fee	
114	150	Provisional Filing Fee	

**SUBTOTAL (1)** 0**2. CLAIMS**

☐ Filing Under 37CFR 1.53 (b)  
☐ CPA Under 37CFR 1.53 (d)  
☐ Amendment

Extra Claims Fee from below Fee Paid

Total 0 - 20 = 0 x 18 = 0

Ind. 0 - 3 = 0 x 80 = 0

Multiple Dependent Claims = 0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent Claims in excess of 3
104	270	Multiple Dependent Claims
109	80	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** 0**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1390	Extension for reply within fourth month	
128	1890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1240	Petition to revive - unintentional	
142	1240	Utility issue fee (or reissue)	
143	440	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
149	710	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL(3)** 0

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 JAN 25 2001  
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**SUBMITTED BY**

Typed or Printed Name Susan E. McHale

**Complete (if applicable)**

Reg. Number 35948

Signature

*Susan E. McHale*

Date

01/23/2001

Deposit Account User ID

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